



11-25-02

1625

TECH CENTER 1600/2900

NOV 7 2002

RECEIVED

Please type a plus sign (+) inside this box → ☐

Approved for use through 09/30/2000. OMB 0651-0041  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/980,965	
	Filing Date	December 4, 2001	
	First Named Inventor	Alain Calvet	
	Group Art Unit	1625	
	Examiner Name	Rita J. Desai	
Total Number of Pages in This Submission	31	Attorney Docket Number	5808-01-DRK

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): Certificate of Express Mailing Return Postcard
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	David R. Kurlandsky
Signature	
Date	11/22/02

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: <input type="text"/>	
Typed or printed name	<input type="text"/>
Signature	<input type="text"/>
Date	<input type="text"/>

⊕ Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

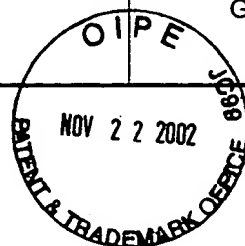
**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): Alain Calvet, et al.

Docket No.  
5808-01-DRKSerial No.  
09/980,965Filing Date  
4-Dec-2001Examiner  
Rita J. DesaiGroup Art  
1625

Invention:

TRICYCLIC ANALGESICS



I hereby certify that this

Petition For Extension of Time Under 37 CFR 1.136(a) and Amendment Response

(Identify type of correspondence)

Is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under

37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231

on November 22, 2002

(Date)

Connie L. Park

(Typed or Printed Name of Person Mailing Correspondence)

(Signature of Person Mailing Correspondence)

EF 220 717 246 US

("Express Mail" Mailing Label Number)

**RECEIVED**  
NOV 27 2002  
TECH CENTER 1600/3900**Note: Each paper must have its own certificate of mailing**

**Burden Hour Statement:** This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.**



5808-01-DRK

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

APPLICANT : ALAIN CALVET, ET AL.

EXAMINER : RITA J. DESAI

SERIAL NO : 09/980,965

ART UNIT : 1625

FILED : DECEMBER 4, 2001

PAPER NO. : 6

FOR : TRICYCLIC ANALGESICS

#7/A  
#KO  
12/12/02  
RECEIVED  
NOV 27 2002  
TECH CENTER 1600/2900

AMENDMENT AND RESPONSE

November 22, 2002

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In response to the Office Action dated May 22, 2002, please enter the following amendments and remarks. A three-month extension of time accompanies this paper.

IN THE ABSTRACT:

Please insert the attached abstract on a separate page in its entirety.

IN THE SPECIFICATION:

On page one, after the title, please insert:

a<sup>2</sup>  
This application is a 371 of Application Number PCT/EP00/05783 filed June 7, 2000, which claims benefit of Provisional Application 60/137,868 filed June 7, 1999.

IN THE CLAIMS:

a<sup>3</sup>  
1. (Amended) A method for treating a mammal suffering from pain and in need of treatment comprising administering an effective amount of a tricyclic compound of Formula I: